

Export LC Advise - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Advise - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Advice Islamic

As part of Conventional Export LC Advise, the advising bank will receive the LC through MT700, 701. The LC can be advise directly to the beneficiary if Advice Through Bank(ATB) is not applicable.

If ATB is applicable, then the LC is routed to the ATB by advising bank via MT 710, 711 and the ATB will advise the LC to the beneficiary.

The various stages involved for Advise of an Islamic Export Letter of Credit are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents.
- Verify /capture details (SWIFT/Non Online Channels)- Scrutiny stage
- Input/Modify details of LC - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Advise process flow is similar to that of conventional Export LC Advise process flow. In the following sections, let's look at the details for Export LC Advising process:

This section contains the following topics:

Common Initiation Stage	Scrutiny
Registration	Exceptions
Data Enrichment	Multi Level Approval

Common Initiation Stage

The user can initiate the new export LC advise request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer Id	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

A user can register a request for an Islamic Export LC Advise received by mail/Courier at the front desk. During registration, user captures the basic details of the request, check the signature of authorized signatory of the issuing bank, and then upload related documents. On submit of the request, the task should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

Password *

Sign In
Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Dashboard

Bank Futura - (203) 04/13/18

SHUBHAM

Draft Confirmation Pending

Customer Name	Application Date	Customer
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
004	NA	Loan Ap
004	NA	Loan Ap
004	NA	Loan Ap

High Value Transactions

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	36758	H
HSBC BANK	39951	M
WALL MART	36779	
EMR & CO	40064	M

Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status

Cucumber Testing

No data to display

Within SLA

Nearing SLA

SLA breached

Tasks Detailed

Cucumber Testing

Process Reference Number	Proce
--------------------------	-------

3. Click Trade Finance - Islamic > Export - Documentary Credit> Export LC Advice - Islamic.

The screenshot shows the Oracle Trade Finance - Islamic interface. The left sidebar contains a menu with the following items: Completed Tasks, Free Tasks, Hold Tasks, My Tasks, Search, Supervisor Tasks, Trade Finance, Trade Finance - Islamic, Export - Documentary Credit, Export LC Update Drawings - Islamic, Export LC Advise - Islamic (highlighted), Export LC Amendment - Islamic, Export LC Drawing - Islamic, and Import - Documentary Credit. The main area displays a 'Priority Summary' table with columns: Branch, Process Name, Stage Name, and No of High Priority Items. The table shows 'No data to display.' Below the table is a pagination bar: Page 1 (0 of 0 items). To the right, there is a 'High Priority Tasks' table with columns: Process Reference Number, Branch, and Priority. The table shows two rows: PK2GTEA000067002 and PK2GTEA000066997, both with Branch PK2 and Priority Gu. Below the table is a pagination bar: Page 1 of 10 (1-2 of 20 items). At the bottom, there are two tabs: Model Tax Performance and Pending Exception Approval (0).

The Registration stage has two sections Application Details and LC Details. Let's look at the Registration screens below:

Application Details

The screenshot shows the Oracle Export LC Advise - Islamic Application Details form. The form is divided into two main sections: Application Details and LC Details. The Application Details section includes fields for MT 720 - Transfer LC (toggle), Submission Mode (Desk), Issuing Bank (000331, IOICI BANK), Beneficiary (000335, SH TEST CORP), Branch (000-FLEXCUBE UNIVERSAL BANK), Process Reference Number (000IELA000007237), Priority (Medium), and Advising Date (May 5, 2021). The LC Details section includes fields for LC Type (Select), 40A - Form of Documentary Credit (IRREVOCABLE), 23 - Reference To Pre-Advice, 31D - Place of Expiry, Limits/Collateral Required (toggle), Advising Bank, Form of Documentary Credit Details, 31C - Date of Issue (May 5, 2021), 51A - Applicant Bank, 39C - Additional Amount Covered, Product Code (20 - Documentary Credit Number), 40E - Applicable Rules (UCP LATEST VERSION), Applicant, Amount In Local Currency (GBP), Product Description, Contract Reference Number, Date of Expiry, and 39A - Percentage Credit Amount Tolerance. The form has buttons for Hold, Cancel, Save & Close, and Submit.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
MT 720 – Transfer LC	Toggle On: If it's an Export LC Transfer Advise request. Toggle Off: If it's an Export LC Advise request.	

Field	Description	Sample Values
Beneficiary	Select the beneficiary customer from the LOV. If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.  Note For SWIFT processing of incoming MT 700, the user can edit the Party ID and or Name populated by the system to reflect the actual beneficiary details available in incoming MT 700. This field will be renamed as Second Beneficiary, if the MT 720 – Transfer LC toggle is enabled.	
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.  Note Once the request is submitted, Branch field is non-editable.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Export LC Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Export LC Advice request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Fax - Request received through Fax Email - Request received through Email Courier - Request received through Courier	Desk
Currency Code, Amount	Select the currency code. Provide the value of LC (with decimal places) as per currency type.	GBP, 1,000.00
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203ILCISS000000500

Field	Description	Sample Values
Advising Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Issuing Bank	Select the issuing bank. Party type with banks will only be displayed in LOV. The system will display the a) SWIFT code (if available) b) Name and address of the bank On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.	
OLD Advising Bank	Select the old advising bank. Click the look up icon to search the advising bank based on Party ID/Party name. This field appears, if the MT 720 – Transfer LC toggle is enabled.	001342 -HSBC Bank

LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

LC Details

LC Type

Select

40A - Form of Documentary Credit

IRREVOCABLE

23 - Reference To Pre-Advice

31D - Place of Expiry

Limits/Collateral Required

☒

Advising Bank

Form of Documentary Credit Details

31C - Date of Issue

May 5, 2021

51A - Applicant Bank

39C - Additional Amount Covered

Product Code

20 - Documentary Credit Number

40E - Applicable Rules

UCP LATEST VERSION

Applicant

Amount In Local Currency

GBP

Product Description

Contract Reference Number

Date of Expiry

39A - Percentage Credit Amount Tolerance

/

Hold

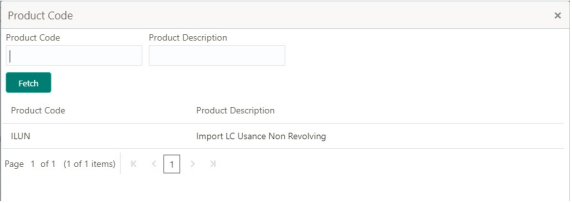
Cancel

Save & Close

Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Select the applicable LC type from LOV: • Sight • Usance • Mixed	

Field	Description	Sample Values
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party name.	001342 -HSBC Bank
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  Alternatively, enter the product code and on tab out system will validate and populate the selected product description.	ILUN
Product Description	Auto populated by the application based on the product code.	Export LC Usance Non Revolving
40A - Form of Documentary Credit	Select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable . This field will be renamed as 40B - Form of Documentary Credit , if the MT 720 – Transfer LC toggle is enabled.	Irrevocable
40A - Form of Documentary Credit Details	Read only field. Form of Documentary Credit Details.	Irrevocable
20 - Documentary Credit Number	Provide the issuing bank's LC reference number. This field will be renamed as 21 - Documentary Credit Number , if the MT 720 – Transfer LC toggle is enabled.	
Contract Reference Number	Contract Reference Number will be defaulted by the system based on selected product code.	
Reference to Pre-Advice	Provide details of Pre-Advice, if issued by the bank. This field will be removed if the MT 720 – Transfer LC toggle is enabled.	
Date Of Issue	Provide the LC date of issue. Future dates are not allowed.	04/13/18
Transferring Bank's Reference	Provide the transferring bank's reference number. This field appears if the MT 720 – Transfer LC toggle is enabled.	

Field	Description	Sample Values
Applicable Rules	Select the applicable rules for the LC. Default rule if UCP Latest Version .	UCP Latest Version.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the expiry date is earlier than the issue date, system will provide an error and if the expiry date is equal to the issue date, system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	Select the applicant bank details, if applicable. This field will be removed if the MT 720 – Transfer LC toggle is enabled.	001343 Bank of America
Applicant	Select the applicant, if applicant is a customer of the bank. If applicant is a walk in customer, provide the details. This field will be renamed as First Beneficiary , if the MT 720 – Transfer LC toggle is enabled.	001344 EMR & CO
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If Tolerance is more than 10%, alert message will be displayed.	8/2
Limits/Collateral Required	Toggle On: Limit check is required. Toggle Off: Limit check is not required.	
Additional Amount Covered	Provide additional amount included in LC.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
50B - Non-Bank Issuer of the Original Documentary Credit	Select the Non-Bank Issuer of the Original Documentary Credit from LOV. This field appears if the MT 720 – Transfer LC toggle is enabled.	
52A - Issuing Bank of the Original Documentary Credit	Select the issuing Bank of the Original Documentary Credit from LOV. This field appears if the MT 720 – Transfer LC toggle is enabled.	

Miscellaneous

Export LC Advise - Islamic

Signatures Documents Remarks

Application Details

MT 720 - Transfer LC



Submission Mode *

Desk

Issuing Bank *

000331 ICICI BANK

Beneficiary *

000335 SH TEST CORP

32B - Currency Code, Amount *

USD \$1,200.00

Branch *

000-FLEXCUBE UNIVERSAL BANK

Process Reference Number

000IELA000007237

Priority *

Medium

Advising Date *

May 5, 2021

LC Details

LC Type

Select

40A - Form of Documentary Credit

IRREVOCABLE

23 - Reference To Pre-Advice

31D - Place of Expiry

Limits/Collateral Required



Advising Bank

Form of Documentary Credit Details

31C - Date of Issue *

May 5, 2021

51A - Applicant Bank

39C - Additional Amount Covered

Product Code

20 - Documentary Credit Number

40E - Applicable Rules

UCP LATEST VERSION

Applicant

Amount In Local Currency

GBP

Product Description

Contract Reference Number

Date of Expiry

39A - Percentage Credit Amount Tolerance

/

Hold Cancel Save & Close Submit

Provide the miscellaneous details based on the description in the following table:

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Documents	Upload the mail LC received from issuing bank.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Action Buttons

Field	Description	Sample Values
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Registration inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledged.	

Scrutiny

On successful completion of Registration of an Export LC Advice request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

As part of scrutiny, the user can enter/update basic details of the Islamic LC request and can verify if the request can be progressed further. The task initiated from the online channel (SWIFT MT700, 701/ MT710, 711 parsing) should be created in the Scrutiny stage directly as in conventional process flow.

MT700, 701 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT700, 701, OBTFPM should read the SWIFT message,

- If 57A tag (ATB) value is available in the message, the task should be created in the Conventional Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT710, 711 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT710, 711, OBTFPM should read the SWIFT message,

- If the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.

- If the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT720, 721 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT720, 721, OBTFPM should read the SWIFT message,

- If the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT700, 701 Parsing-where Islamic Trade finance is only applicable

When parsing the MT700, 701, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

MT710, 711 Parsing-where Islamic Trade finance is only applicable

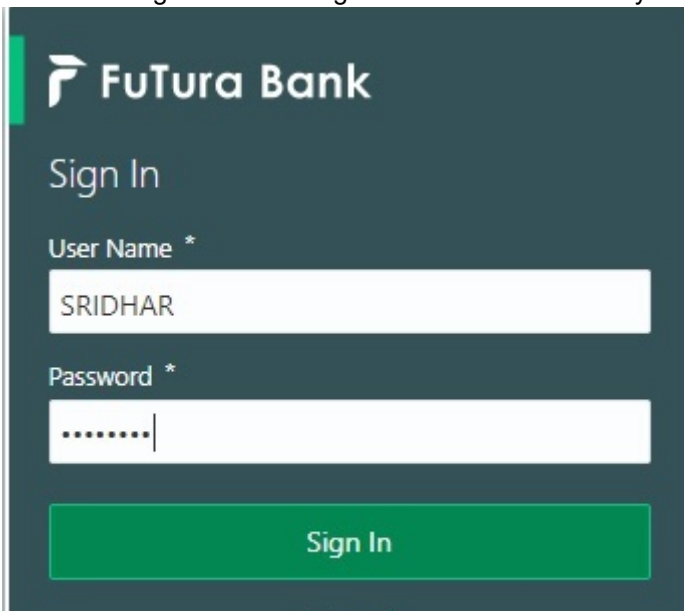
When parsing the MT710, 711, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

MT720, 721 Parsing-where Islamic Trade finance is only applicable

When parsing the MT720, 721, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

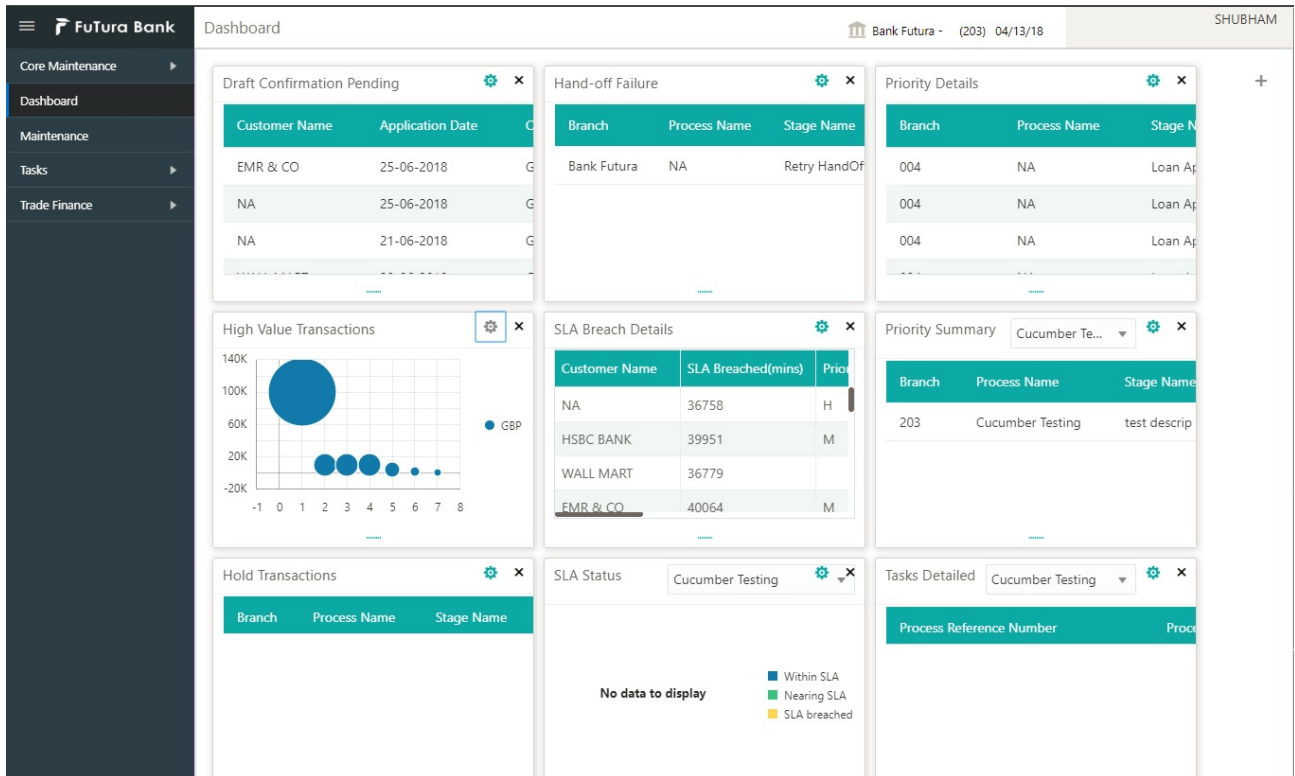
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

The screenshot displays the Oracle Free Tasks page. The table lists various tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The tasks are listed in descending order of Application Date.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Scrutiny	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot displays the Oracle Free Tasks page. The table lists various tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first task is selected.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Scrutiny	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Scrutiny	21-12-17	PK2	000335	
☐ Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007231	PK2IILU000007231	DataEnrichment	21-12-17	PK2	001044	
☐ Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007227	PK2IILU000007227	Approval Task Level 1	21-12-17	PK2	001044	
☐ Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007222	PK2IILD000007222	Approval Task Level 1	21-12-16	PK2	001044	
☐ Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044	

The Scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Main Details

Application Details

MT 720 - Transfer LC

Beneficiary: 000335 SH TEST CORP

Branch: 000-FLEXCUBE UNIVERSAL BANK

Priority: Medium

Submission Mode: Desk

Issuing Bank: 000331 ICICI BANK

Advising Bank: [Search]

Product Code: [Search]

Product Description: [Search]

Contract Reference Number: [Search]

Date of Expiry: May 5, 2021

39A - Percentage Credit Amount Tolerance: [Search]

LC Details

LC Type: Select

40A - Form of Documentary Credit: IRREVOCABLE

23 - Reference To Pre-Advice: [Search]

31D - Place of Expiry: [Search]

Limits/Collateral Required: [Search]

31C - Date of Issue: May 5, 2021

51A - Applicant Bank: [Search]

39C - Additional Amount Covered: [Search]

40E - Applicable Rules: UCP LATEST VERSION

Applicant: [Search]

Amount In Local Currency: GBP

Audit

Reject Refer Hold Cancel Save & Close Back Next

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the user to see the multiple incoming messages (MT700 + upto MT701 or MT 710+ upto 7 MT 711). The default value can be either MT700 or MT 710 with drop down available for as many MT701/ MT711	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard. The data input will not be saved.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability & Shipment

User must verify/input/update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments. In case the request is received through online channel, I will verify the details populated.

Availability Details

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Screen (2 / 6)

Main Details
Availability Shipment
 Payment Details
 Additional Fields
 Additional Details
 Summary

Availability Shipment

41a-Available with *
 PKBANK71XXX

41a-Available By *
 BY ACCEPTANCE

42C-Drafts At

Drawee

42 P/M - Payment Details

Shipment Details

43P-Partial Shipments

43T-Transshipment

44A-Place of Taking in Charge

44E-Port of Loading

44F-Port of Discharge

44B-Place of Final Destination

44C-Latest Date of Shipment

44D-Shipment Period

45A Description of Goods and/or Services

INCO Terms *
 DDP

INCO Terms Description
 Delivered Duty Paid (named place of di

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
MACHINE1	G	machine1	10	\$2,000.00	\$20,000.00	

Page 1 of 1 (1 of 1 items)

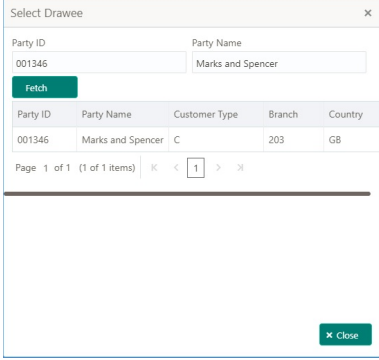
Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available. Online Channel - Read only Non Online Channel - User must capture the bank details or any free text. - If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. Available With BIC Bank Name Fetch BIC Bank Name No data to display. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted. - If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).	

Field	Description	Sample Values
Available By	Online Channel – Read only Non Online Channel – Choose one of the following values from drop down. • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT Validation: 1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment 2) If By Deferred Payment is selected, there must be a value in tag 42P- Deferred payment 3) if By Payment is selected, payment at sight is applicable. It must be applicable for sight type of product only.	
Drafts At	Online Channel - Read only Non Online Channel - Provide the draft details. This field specifies the tenor of drafts to be drawn under the documentary credit. • SIGHT • NN DAYS SIGHT • USANCE (payable in full or parts) NN DAYS FROM SHIPMENT DATE (e.g. 1. 30 days from BL date 2. 10% payable 30 days from BL date, 40% payable 60 days from BL date 50% payable 90 days from BL date) b) NN DAYS FROM INVOICE DATE c) NN DAYS FROM ACCEPTANCE d) NN DAYS FROM DRAFT 4. MIXED a) X percentage SIGHT (100-X) percentage USANCE FROM i) NN DAYS FROM SHIPMENT DATE ii) NN DAYS FROM INVOICE DATE iii) NN DAYS FROM ACCEPTANCE iv) NN DAYS FROM DRAFT	

Field	Description	Sample Values
Drawee	This field will have value only if 'Drafts at' field has values. Select the Drawee bank (Advising bank or Confirming bank). Search the bank with SWIFT code (BIC) or Bank Name.  On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.  Note This field is mandatory if value is provided at Drafts At field.	
Payment Details	Provide the payment details if, Available By filed has Mixed Payment or Deferred Payment .	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	This field specifies whether or not partial shipments are allowed under the documentary credit. Online Channel – Read only Non Online Channel - Select the appropriate value from the drop down. Available values are: - ALLOWED - CONDITIONAL - NOT ALLOWED	

Field	Description	Sample Values
Transshipment	This field specifies whether or not transshipment is allowed under the documentary credit. Online Channel - Read only Non Online Channel - Select the appropriate value from the drop down. Available values are: • ALLOWED • CONDITIONAL • NOT ALLOWED	
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Online Channel – Read only Non online Channel - Provide the details of place of taking in charge.  Note This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.	
Port Of Loading	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Online Channel – Read only Non online Channel - Provide the details of Port/ Airport of Loading.  Note This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.	

Field	Description	Sample Values
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of Port/ Airport of Discharge.  Note This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.	
Place Of Final Destination	This field specifies the final destination or place of delivery to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of Place Of Final Destination.  Note This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.	
Latest Date Of Shipment	Provide the latest date for loading on board/ dispatch/taking in charge.  Note This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.	
Shipment Period	Online Channel – Read only Non Online Channel - Provide the details of Shipment.  Note This field is alternate to Latest Date Of Shipment. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.	

Description Of Goods And Or Services

This field contains a description of the goods and/or services. Provide the goods and services details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Online Channel - Read only. Non Online Channel - Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Edit icon to edit the goods detail. Click Delete icon to delete the goods detail.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the operation and return to dashboard. The data input will not be saved.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Payment Details

Scrutiny user can input Payment data segment details of an Islamic ;Export LC.

Payment Details

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Screen (3 / 6)

Payment Details

49G-Special Payment conditions for beneficiary

Partial Confirmation Allowed

58A - Requested Confirmation Party *

003762 CITIGB2LNNN

72-Sender to Receiver Information

MT730- Acknowledgement Sent

72-Sender to Receiver Information

71 D Charges

49H-Special Payment conditions for receiving bank

Confirmation %

53A - Reimbursing Bank

71 D Charges

79 Z Narrative

Issuing Bank Date

48-Period for Presentation

Confirmation Amount

57A-Advise Through Bank

Issuing Bank Account No

57a - Account with Bank

49-Confirmation Instructions *

MAY ADD

To be confirmed by Advising Bank

78-Instructions to P/A/N Bank

Charges to be Claimed

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	

Field	Description	Sample Values
Special Payment conditions for receiving bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read-only. Non Online channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	
Confirmation Instructions	Online Channel – Read only. Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT. Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system should display the a) SWIFT code (if available), b) Name and address of the bank On selection of the record if SWIFT code is available then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.	
Partial Confirmation Allowed	Toggle On: Set the toggle 'On' to enable partial confirmation. Toggle Off: Set the toggle 'Off' to disable partial confirmation.  Note This field is applicable only if Confirmation Instructions is set to Confirm .	

Field	Description	Sample Values
Confirmation%	Provide the confirmation percentage.  Note This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Toggle is 'On'.  Note This field is alternate to 'Confirmation Amount'.	
Confirmation Amount	Provide the confirmation percentage.  Note This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Toggle is 'On'.  Note This field is alternate to 'Confirmation Amount'.	
To be confirmed by Advising Bank	Toggle On: Set the toggle on to confirm by advising bank. Toggle Off: Set the toggle off for not to be confirmed by advising bank.	
Requested Confirmation Party	Select the requested confirmation party from LOV. Online and Non-Online Channels – Provide requested confirmation party details.  Note This field is applicable only for LC Type - Confirmed LC.	

Field	Description	Sample Values
Reimbursing Bank	If reimbursing bank is applicable user must update the field. Online Channel - Update the details received. Non online channel - Search through LOV. Party type with banks will be displayed in LOV. • SWIFT code (if available), • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	
Advise Through Bank	Online Channel – User can update the details received. Non Online Channel - Search through LOV. Party type with banks must be displayed in LOV. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.  Note In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.	
Instructions to P/A/N Bank	Online Channel- User can update details received. Non online channel – Provide the details in this field.	
Sender to Receiver Information	Online Channel – User can update details received. Non Online Channel – Provide details (FFT).	
Charges	Online Channel – User can update details received. Non Online Channel – Provide details (FFT).	
Amendment charges payable by		

MT730 - Acknowledgement Sent

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Narrative	Select a FFT to provide the additional information from the advising bank to the issuing bank.	
Issuing Bank Account No	Select the issuing bank account number from the LOV.	
Charges to be Claimed	Select the FFT from the LOV for the charges to be claimed.	
Charges	Provide the charge details for advising.	
Issuing Bank Date	Select the issuing bank date.	
Account with Bank	Select the account to which the charges needs to be paid.	

MT710 - Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure these additional fields during implementation.

Export LC Advise - Scrutiny :: Application No: 190ELCA000058078

Additional Fields

Additional Fields

No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

Additional Details

Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Export LC request.

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Additional Details

Revolving Details	Limits and Collaterals	Commission, Charges and...	FX Linkage
Revolving : No	Limit Currency :	Charge :	FX Reference Number :
Revolving In :	Limit Contribution :	Commission :	Contract Currency :
Revolving Frequency :	Limit Status :	Tax :	Contract Amount :
	Collateral Currency :	Block Status : Not Initiated	
	Collateral Contribution :		
	Collateral Status :		

Audit Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral



Note

The fields in this section is applicable only if LC type is Confirmed LC.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make

use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

☐	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	001185		100	USD	\$100.00			001185	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20011850014	1.3	78	\$52.26	67.938	VS	The amount can be

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								

Save & Close
Close

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
-------------	--	--

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.	
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution% is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

1.0

Collateral Contribution Amount *

\$52.26

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£67.94

Response

VS

Verify

Collateral Amount to be Collected *

\$67.00

Collateral Split % *

78.0

▼

▲

Settlement Account *

PK20011850014

Q

Exchange Rate

1.3

▼

▲

Account Available Amount

£999,999,999,999.00

Response Message

The amount block can be performed as:

Save & Close

Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection. System populates the response on clicking the Verify button.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message	

Field	Description	Sample Values
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP		\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	

Page 1 of 1 (1 of 1 items) < 1 >

Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *

PK2FXF1200762005

Contract Amount

GBP £1,000.00

Linkage Amount *

GBP £1,000.00

Amount in Contract Currency

1000

FX Delivery Period From

Currency

GBP

Available Contract Amount

GBP £1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

FX Delivery Period To

Save & Close Close

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On selection, system defaults date, available amount, bought currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. - Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Currency	System defaults from the linked FX contract.	
Bought Currency	System defaults from the linked FX contract.	
SOLD Currency	System defaults from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	Exchange rate is defaulted from the linked FX contract.	
Linked Amount	Sum of Linked amount will not be greater than Bill/LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized Amount	Current Utilized amount displays the liquidated / purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized Amount	Total Utilized amount displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
FX Expiry Date	System defaults expiry date from the linked FX contract.	
FX Delivery Period From	Displays the FX delivery start date.	
FX Delivery Period To	Displays the FX delivery end date.	

Field	Description	Sample Values
Action	Click the Edit icon to edit the FX linkage details. Click the Delete icon to delete the FX linkage details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in Scrutiny Islamic Export LC Advice request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Availability Shipment Payment Details Additional Fields

Summary

Screen (6 / 6)

Main Details	Availability Shipment	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK71XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view Additional fields
Revolving Details	Limits and Collaterals	Commission, Charges and Taxes	Parties Details
Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Confirming Bank : ICICI BANK Beneficiary : SH TEST CORP
FX Linkage			
Reference Number : Contract Amount : Contract Currency :			

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request for Islamic Export LC Advise.

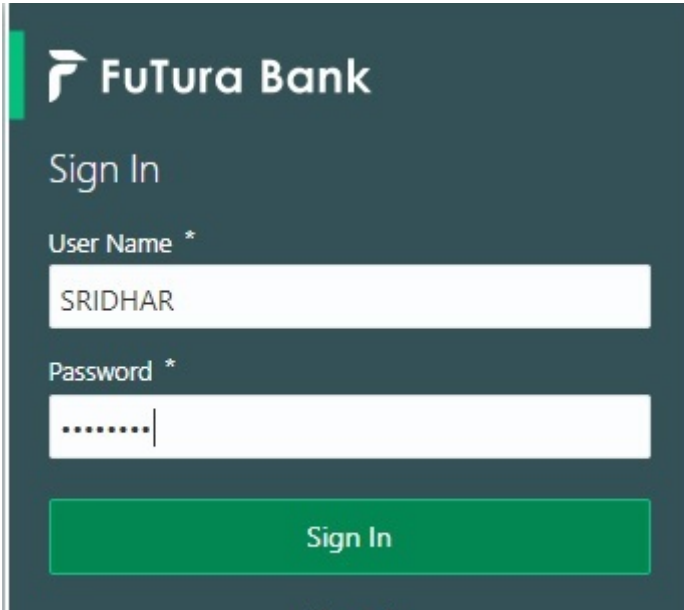


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

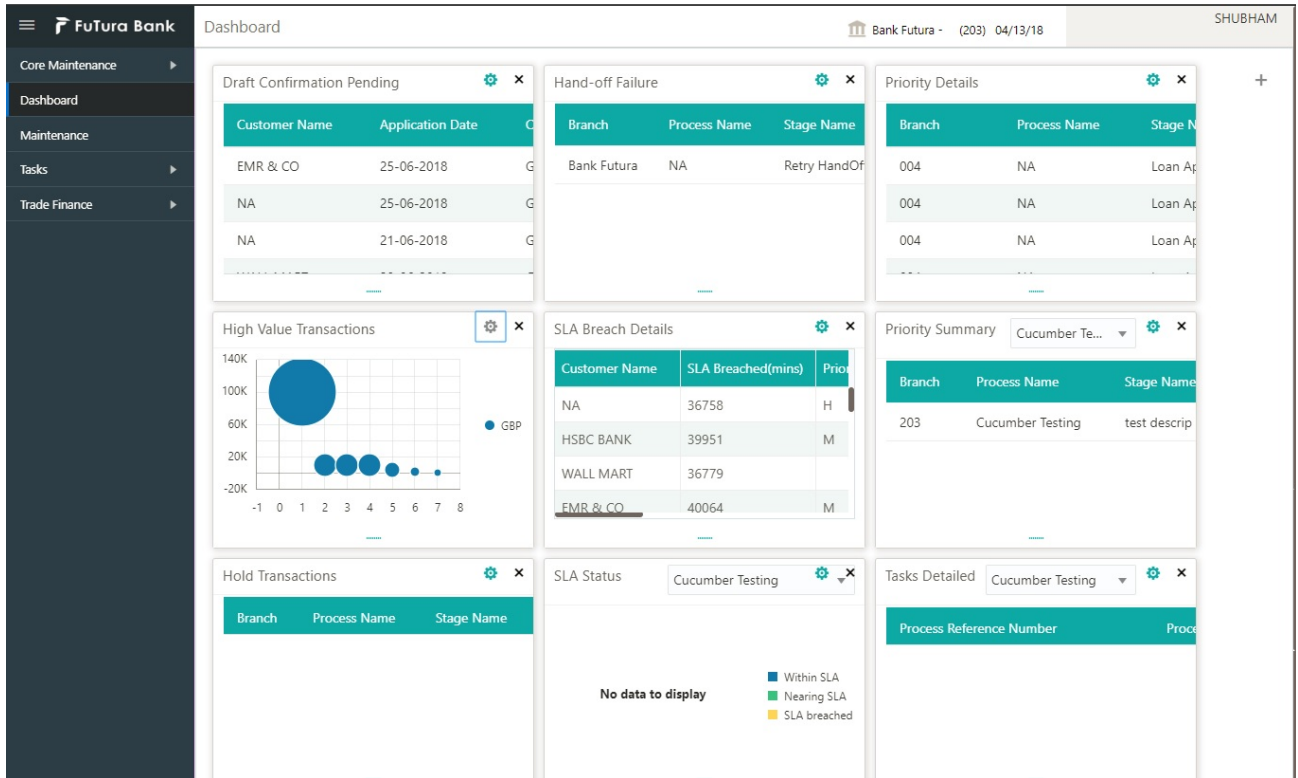
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

Core Maintenance Dashboard Maintenance Tasks Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
004	NA	Loan Ap
004	NA	Loan Ap
004	NA	Loan Ap

High Value Transactions

140K 100K 60K 20K -20K

-1 0 1 2 3 4 5 6 7 8

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prior
NA	36758	H
HSBC BANK	39951	M
WALL MART	36779	
EMR & CO	40064	M

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

No data to display

- Within SLA
- Nearing SLA
- SLA breached

Tasks Detailed Cucumber Testing

Process Reference Number	Proce
--------------------------	-------

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Menu Item Search...

Core Maintenance

Dashboard

Machine Learning

Security Management

Task Management

Tasks

Acquiring Customer Clarification

Business Process Maintenance

Completed Tasks

Free Tasks

Hold Tasks

My Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Guarantee Advice Canc...	PK2GTAC000007232	PK2GTAC000007232	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment I...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advice	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advice	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advice	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advice	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Data Enrichment	GS1ELAC19032BMNV
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006271	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNY
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO

Page 1 of 1 (1-10 of 10 items)

Previous 1 - 10 of 2822 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335	
Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007231	PK2IILU000007231	DataEnrichment	21-12-17	PK2	001044	
Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007227	PK2IILU000007227	Approval Task Level 1	21-12-17	PK2	001044	
Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007222	PK2IILD000007222	Approval Task Level 1	21-12-16	PK2	001044	
Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Availability & Shipment
- Documents Details
- Payment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. You should be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Availability & Shipment

Refer to [Availability & Shipment](#).

Document Details

User must provide the required documents and additional conditions (if applicable) for Islamic Export LC Advise in this section.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

Screen (3 / 9)

Main Details
Availability Shipment
Document Details
Payment Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Document Details

Code	Name	Copy	Original	Description	Action
AIRDOC	Air Way			Airway Bill	 
INSDOC	Insurance			Insurance Policy/Certificate i...	 
INVDOC	Invoice			Commercial invoice, duly si...	 
MARDOC	Sea Way			COPY OF FAX/TELEX ADVISI...	 
OTHERDOC	OTHERDOC			Beneficiary's declaration sta...	 

Page 1 of 1 (1-5 of 5 items) < 1 >

Additional Conditions

FFT Code	FFT Description	Action
SNDRRCVRINFO	sndrrcvinfo	 

Audit Reject Refer Hold Cancel Save & Close Back Next

Documents Details

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for Document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Payment Details

Refer to [Payment Details](#).

Additional Fields

Refer to [Additional Fields](#).

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can verify the advices details Data Segment of the Export LC Advise request.

ORACLE

Free Tasks

EXPORT LC ADVISE ISLAMIC - DataEnrichment :: Application No: 000IELA000007237

DocumentsRemarksOverridesIncoming Message

Main DetailsAvailability ShipmentDocument DetailsPayment DetailsAdditional FieldsAdvicesAdditional DetailsSummary

Advices

Advice : LC_ACK_ADVICE
Advice Name : LC_ACK_ADVICE
Advice Party : ASK
Party Name : CITIBANK ENGLAND
Suppress : NO
Advice

Advice : LC_CASH_COL_ADV
Advice Name : LC_CASH_COL_ADV
Advice Party : ASK
Party Name : CITIBANK ENGLAND
Suppress : NO
Advice

Advice : ISB_BEN_CL
Advice Name : ISB_BEN_CL
Advice Party : BEN
Party Name : GOODCARE PLC
Suppress : NO
Advice

Advice : ADV_THIRD_BANK
Advice Name : ADV_THIRD_BANK
Advice Party :
Party Name :
Suppress : YES
Advice

Advice : PAYMENT_MESSAGE
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

Advice : TRADE_ENVELOPE
Advice Name : TRADE_ENVELOPE
Advice Party :
Party Name :
Suppress : YES
Advice

Audit

RejectReferHoldCancelSave & CloseBackNext

The user can also suppress the Advice, if required.

Additional Details

As part of DE, the user verifies and enter the basic additional details available in the LC. In case the request is received through online channel user will verify the details populated.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

Screen (7 / 9)

Additional Details

Revolving Details	Limits and Collaterals	Commission,Charges and...	Tracer Details
Revolving : No Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status : Not Initiated	Tracer Code : Required : Medium : Frequency :

Preview Messages	FX Linkage
Language : Preview Advice : -	FX Reference Number : Contract Currency : Contract Amount :

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Revolving Details

Revolving

Revolving: No

Revolving In: [Dropdown]

Revolving Frequency: [Dropdown]

Revolve Units: [Dropdown]

Next Reinstatement Date: mm/dd/yy

Cummulative: ☐

Automatic Reinstatement: ☐

Buttons: Save & Close, Cancel

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field.The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	

Field	Description	Sample Values
Revolving Units	You can capture the units by which the LC revolves.	
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBT FPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

☐	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	001185		100	USD	\$100.00			001185	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20011850014	1.3	78	\$52.26	67.938	VS	The amount can be

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								

Save & Close
Close

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.	
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution% is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

1.0

Collateral Contribution Amount *

\$52.26

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£67.94

Response

VS

Verify

Collateral Amount to be Collected *

\$67.00

Collateral Split % *

78.0

▼

▲

Settlement Account *

PK20011850014

Q

Exchange Rate

1.3

▼

▲

Account Available Amount

£999,999,999,999.00

Response Message

The amount block can be performed as:

Save & Close

Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection. System populates the response on clicking the Verify button.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message	

Field	Description	Sample Values
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	

Preview

User can view the preview message of MT730.

My Tasks

Export LC Advise - Data Enrichment :: Application No: 000ELCA00004696

Documents Remarks Incoming Message

Advise Preview

Language: Select

Preview Message

{1:F01FBNIGB2LAXXX111111111}
{2:1730FBNINGLAXXXXN}
{3:{108:000MSOG190036174}}
{4:}
{20:000LCEX19003DK35}
{21:1LCCOCBG1900980}
{30:190103}
{-}

Outgoing MT710

Save & Close Close

Reject Hold Cancel Save & Close Back Next

Settlement Details

As part of DE, the user can verify and enter the basic settlement details available in the LC. In case the request is received through online channel, user will verify the details populated.

Export LC Advise Islamic - Data Enrichment :: Application No: 000IELA000007237

Overrides Incoming Message

Screen (10 / 11)

Settlement Details

Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AMT_PURCHASEDEQ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCCOUR_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCSWIFT_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BILL_AMND_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
COLL_LIQD_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
EBC_PUR_IN_ADJ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
EBC_PUR_IN_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	Y
EBC_PUR_IN_N_ADJ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N

BILL_AMND_AMT - Party Details

Transfer Type: None

Charge Details

Netting Indicator: No

Ordering Customer: AAEMNL21

Ordering Institution: Name/Account

Senders Correspondent: Name/Account

Receivers Correspondent: Name/Account

Ultimate Beneficiary: Name/Account

Intermediary Institution: Name/Account

Intermediary Reimbursement Institution: Name/Account

Payment Details

Sender To Receiver 1

Sender To Receiver 2

Sender To Receiver 3

Sender To Receiver 4

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Advice Islamic request.

As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

Overrides
Customer Instruction
Common Group Messages
Incoming Message

Main Details
Availability Shipment
Document Details
Payment Details
Additional Fields

Form of LC : **IRREVOCABLE**
Submission Mode : **Desk**
Date of Issue : **2021-05-05**

Available With : **PKBANK71XXX**
Available By : **A**
Port of Loading :
Port of Discharge : **Mumbai**

Document 1 : **AIRDOC**
Document 2 : **INSDOC**
Document 3 : **INVDOC**
Document 4 : **MARDOC**
Document 5 : **OTHERDOC**

Period of Present :
Confirmation Instr. : **MAY ADD**

Click here to view :
Additional Fields

Advices
Revolving Details
Limits and Collaterals
Commission,Charges and Taxes
Tracer Details

Advice 1 :
Advice 2 :

Revolving : **NO**
Revolving In :
Revolving Frequency :

Limit Currency :
Limit Contribution :
Limit Status : **Not Verified**
Collateral Currency :
Collateral Contr. :
Collateral Status : **Not Verified**

Charge :
Commission :
Tax :
Block Status : **Not Initia**

Tracer Code :
Required :
Medium :
Frequency :

Preview Messages
Parties Details
Compliance details
Accounting Details
FX Linkage

Language : **ENG**
Preview Message : -

Confirming Bank : **ICICI BANK**
Beneficiary : **SH TEST CORP**
Applicant : **Trade Indi**

KYC : **Not Initia**
Sanctions : **Not Initia**
AML : **Not Initia**

Event :
AccountNumber :
Branch :

Reference Number :
Contract Amount :
Contract Currency :

Settlement Details

Component :

Audit
Reject
Refer
Hold
Cancel
Save & Close
Back
Next
Submit

Tiles Displayed in Summary

61

ORACLE®

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries..

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Data Enrichments stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export LC Advice request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral. Amount block check will be done for all the parties related to the LC.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM Application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.

- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Advice Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the beneficiary. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM Application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

KYC Details

This section will display the KYC details.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

KYC EXCEPTION APPROVAL

Summary

KYC Details

Party ID	KYC Status	KYC Verified On	KYC Verified Till
001044	Verified	27-03-2021	27-03-2022
000327	Failed		

Audit

Reject Refer Hold Approve Back Next

Summary

Export LC Advise Islamic - KYC Exceptional approval : Application No: 000IELA000007237

Overrides Customer Instruction Common Group Messages Incoming Message

KYC EXCEPTION APPROVAL

Summary

Screen (2 / 2)

Main Details	Availability Shipment	Document Details	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK71XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional Fields
Advices	Revolving Details	Limits and Collaterals	Commission, Charges and Taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Tracer Code : Required : Medium : Frequency :
Preview Messages	Parties Details	Compliance details	Accounting Details	
Language : ENG Preview Message : +	Confirming Bank : ICICI BANK Beneficiary : SH TEST CORP Applicant : Trade Indi	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : AccountNumber : Branch :	

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a refer reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Advice KYC Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM Application limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit and Collateral Details

This section will display limits and collateral details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Field	Description	Sample Values
Cancel	Cancel the Export LC Advice Limit Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM Application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- LC Currency, Amount
- Beneficiary party
- Expiry Date
- Issuing Bank

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot shows the 'Free Tasks' interface in the FuTura Bank system. A modal window titled 'Approval Rekey' is open, allowing a user to re-key an approval. The modal includes three tabs: 'Incoming Message', 'Documents', and 'Remarks'. The 'Currency' field is set to 'GBP' and the 'Amount' field is set to '£25,000.00'. Both fields have green checkmarks indicating they are valid. At the bottom of the modal are three buttons: 'Proceed', 'Refer', and 'Cancel'. The background shows a table of tasks with columns for Action, Priority, Application Number, Process Name, Stage, and Back Office Ref No.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Action Buttons

Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R5 - Others	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

A

Additional Details	
Charge Details	34, 52
Limits & Collateral	30, 49
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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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